Policy No: 3.6.1



Date ratified: June 2021

Child Protection Policy and Procedures

1. Purpose

This policy sets out staff responsibilities for child protection and processes that staff must follow in relation to child protection matters. This policy applies to all staff members (which in this instance includes students on teaching placements and observations; volunteers; outside tutors and external providers; contractors, consultants and visitors who have contact with students). Child protection is a community responsibility.

Staff members who fail to adhere to this policy may be in breach of their terms of employment.

The School's procedures in relation to this child protection policy and on each key piece of legislation are documented throughout this policy but can also be easily followed and understood in the Appendices. The newly introduced Principal notification and reporting forms specifically for Reportable Conduct are also listed as Appendices.

All ISMS staff are annually required to sign the acknowledgment sheet declaring they have read, understood and agree to comply with the terms of the Child Protection Policy and Procedures. They further declare they understand that as a mandatory reporter, it is their duty to disclose any information that might impact on the safety, welfare and wellbeing of a child or member of the community. The signed acknowledgment sheet is kept on file in the Principal's Office.

2. Who is Affected by these Policies?

- Nominated Supervisor (Principal)
- Staff
- Children and others attending the programs and activities of ISMS
- Parents/carers
- Students on teaching placements and observations
- Volunteers
- Outside Tutors and External Providers
- Contractors, Consultants and visitors

3. What does this Policy mean?

3.1 General

The safety, protection and wellbeing of all students is of fundamental importance to the School. Staff (which in this instance includes students on teaching placements and observations; volunteers; outside tutors and external providers; contractors, consultants and visitors who have contact with students) and the School have a range of obligations relating to the safety, protection and welfare of students including:

- a) A duty of care to ensure that reasonable steps are taken to prevent harm to students;
- b) Obligations under the child protection legislation; and
- c) Obligations under the work health and safety legislation.

3.2 Key Legislation

There are four key pieces of child protection legislation in New South Wales:

- a) The Children and Young Persons (Care and Protection) Act 1998 (NSW) (the Care and Protection Act);
- b) The Child Protection (Working With Children) Act 2012 (NSW) (the WWC Act);
- c) The Children's Guardian Act 2019; and
- d) The Crimes Act 1990 ("Crimes Act").

3.3 Compliance and Records

The Principal or their delegate monitors compliance with this policy and securely maintains school records relevant to this policy, which includes:

- Register of staff members who have signed the acknowledgement sheet to declare that they have read, understood and agree to comply with this policy;
- Working with children check clearance (WWCC clearance) verifications;
- Mandatory reports to the Department of Communities and Justice (DCJ), previously known as Family and Community Services; and
- Reports of reportable allegations, the outcome of reportable conduct investigations, and/or criminal convictions and;
- School records relevant to this policy will be kept for seven (7) years.

3.4 Child Protection

The safety, protection and welfare of students is the responsibility of all staff members and encompasses:

- A duty of care to ensure that reasonable steps are taken to prevent harm to students which could reasonably have been foreseen;
- Obligations under child protection legislation.

3.5 Child Protection Concerns

There are different forms of child abuse. These include neglect, sexual, physical and emotional abuse.

<u>Neglect</u> is the continued failure by a parent or caregiver to provide a child with the basic things needed for his or her proper growth and development, such as food, clothing, shelter, medical and dental care and adequate supervision.

<u>Sexual Abuse</u> is when someone involves a child or young person in a sexual activity by using their power over them or taking advantage of their trust. Often children are bribed or threatened physically and psychologically to make them participate in the activity. Child sexual abuse is a crime.

<u>Physical Abuse</u> is a non-accidental injury or pattern of injuries to a child caused by a parent, caregiver or any other person. It includes but is not limited to injuries which are caused by excessive discipline, severe beatings or shakings, cigarette burns, attempted strangulation and female genital mutilation.

Injuries include bruising, lacerations or welts, burns, fractures or dislocation of joints. Hitting a child around the head or neck and/or using a stick, belt or other object to discipline or punishing a child (in a non-trivial way) is a crime.

<u>Emotional Abuse</u> can result in serious psychological harm, where the behaviour of their parent or caregiver damages the confidence and self-esteem of the child or young person, resulting in serious emotional deprivation or trauma.

Although it is possible for 'one-off' incidents to cause serious harm, in general it is the frequency, persistence and duration of the parental or carer behaviour that is instrumental in defining the consequences for the child.

This can include a range of behaviours such as excessive criticism, withholding affection, exposure to domestic violence, intimidation or threatening behaviour.

3.6 Child Wellbeing Concerns

Child wellbeing concerns are safety, welfare or wellbeing concerns for a child or young person that do not meet the mandatory reporting threshold, risk of significant harm (see Section 4. Mandatory Reporting).

3.7 Staff Member Responsibilities

Key legislation requires reporting of particular child protection concerns. However, as part of the School's overall commitment to child protection all staff are required to report any child protection or child wellbeing concerns about the safety, welfare or wellbeing of a child or young person to the Principal or delegate.

If the allegation involves the Principal, a report should be made to the President of the School Council. The President can be contacted via president@isms.nsw.edu.au. Should you wish to contact the President via phone in the first instance, please seek the number from reception or a member of the School's Executive team.

3.8 Training

3.8.1 The School

The School provides annual child protection training and a copy of this policy to all staff members.

3.8.2 Current Staff Members

All staff receive annual child protection training on the first Pupil Free Day of Term 2, each year. Every 3 years, Community Early Learning Australia (CELA) will provide 'Identify and Respond to Children and Young People at Risk' child protection training to all staff, including any new staff starting that term. In the intervening years, child protection refreshers will be conducted in-house, by a member of the Executive Team, for all staff.

Staff will be required to complete an attendance sign-in sheet at each annual child protection training session and email the mandatory reporting annual declaration form and acceptance of the School's Child Protection Policy and Procedures to the Principal's PA. Staff who do not submit the mandatory reporting annual declaration form and acceptance of the School's Child Protection Policy and Procedures will receive a reminder email from the Principal's PA. If they still do not meet this requirement, the Principal's PA will inform the Principal and the Principal will arrange a meeting with the relevant member of staff to set a deadline of 3 working days. If this deadline is not met, the member of staff will not be able to return to the School and will be on Leave Without Pay (LWOP), until the necessary task has been completed.

The attendance and acknowledgement information will be recorded on a spreadsheet and monitored by the Principal's PA. These records will be kept for seven (7) years. The Principal and the Principal's PA to meet after each annual child protection training to audit process of compliance and procedures.

3.8.3 New Staff Members

All new members of staff will go through a thorough recruitment process with the Payroll and HR Officer, which will include the reading and familiarisation of the Child Protection Policy. Staff who do not hold a current Child Protection certificate will be enrolled in an approved Child Protection training course. The Payroll and HR Officer will maintain a spreadsheet of all staff Child Protection training, including date achieved and code of training, and the mandatory reporting annual declaration form and acceptance of the School's Child Protection Policy and Procedures for new staff.

3.9 Working With Children

The WWC Act protects children by requiring a worker to have a WWCC clearance or current application to engage in child related work. Failure to do so may result in a fine or imprisonment.

The Office of the Children's Guardian (OCG) is responsible for determining applications for a WWCC clearance. It involves a national criminal history check and review of reported workplace misconduct findings. The result is either to:

- Grant a WWCC clearance (generally valid for 5 years); or
- Refuse a WWCC clearance (further applications cannot be made for 5 years).

In addition, the OCG may impose an interim bar on engaging in child related work for both applicants and WWCC clearance holders.

WWCC clearance holders are subject to ongoing monitoring by the OCG.

3.10 Responsibilities for Working with Children Checks

3.10.1 Staff Members

Staff members who engage in child-related work and eligible volunteers (including those volunteers working at overnight camps and School cleaners) are required to:

- Hold and maintain a valid WWCC clearance;
- Not engage in child-related work at any time that they are subjected to an interim bar or a bar;
- Report to the Principal if they are no longer eligible for a WWCC clearance, the status if their WWCC clearance changes or are notified by the OCG that they are subjected to a risk assessment; and
- Notify the OCG of any change to their personal details within 3 months of the change occurring. Failure to do so may result in a fine.

It is an offence for an employee to engage in child-related work when they do not hold a WWCC clearance or if they are subject to a bar.

All volunteers are required to:

- Be aware and follow the expectations of conduct expressed in the School Staff Code of Conduct;
- Depending on the risk rating of the volunteer role, be required to have a WWCC clearance.

3.10.2 The School

The School is required to:

- Verify online and record the status of each child-related worker's WWCC clearance (see Appendix C - Working with Children Check Process Flowchart).
 This is to be completed by the Principal or their delegate;
- Only employ or engage child-related workers or eligible volunteers who have a valid WWCC clearance; and
- Advise the OCG of the findings they have made after completing a reportable conduct investigation, including whether they have made a finding of reportable conduct. A finding of reportable conduct in relation to sexual misconduct, a sexual offence or a serious physical assault must be referred to the OCG's Working with Children Check Directorate (WWCC Directorate). It is an offence for an employer to knowingly engage a child-related worker who does not hold a WWCC clearance or who has a bar.

3.11 Working with Children Check Clearance

WWCC clearance is authorisation under the WWC Act for person to engage in child-related work.

3.11.1 Child-Related Work

Child-related work involves direct contact by the worker with a child or children and that contact is a usual part of and more than incidental to the work. Child related work includes, but is not limited to work in the following sectors:

• Early education and child-care including education and care service, child-care centres and other child care;

- Schools and other educational institutions and private coaching or tuition of children;
- Religious services;
- Residential services including boarding schools, homestays more than three weeks, residential services and overnight camps;
- Transport services for children including school bus services, taxi services for children with disability and supervision of school road crossings; and
- Counselling, mentoring or distance education not involving direct contact.

Any queries about whether roles/duties engage in child-related work should be directed to the Principal.

3.11.2 Application/Renewal

An application or renewal can be made through Service NSW or its replacement agency. The process for applying for and renewing a WWCC clearance with the OCG involves a national police check and a review of findings of misconduct. If the OCG grants or renews a WWCC clearance the holder will be issued with a number which is to be provided to the School to verify the status of a staff member's WWCC clearance.

3.11.3 Refusal/Cancellation

The OCG can refuse to grant a WWCC clearance or cancel a WWCC clearance. The person is then restricted from engaging in child-related work and not able to apply for another clearance for five years. Employers are notified by the OGC and instructed to remove such persons from child-related work.

3.11.4 Interim Bar

The OCG may issue an interim bar, for up to 12 months, to high-risk individuals to prevent them from engaging in child-related work while a risk assessment is conducted. If an interim bar remains in place for six months or longer, it may be appealed to the Administrative Decisions Tribunal.

Not everyone who is subject to a risk assessment will receive an interim bar; only those representing a serious and immediate risk to children.

3.11.5 Disqualified Person

A disqualified person is a person who has been convicted, or against whom proceedings have been commenced for a disqualifying offence outlined in Schedule 2 of the WWC Act. A disqualified person cannot be granted a WWCC clearance and is therefore restricted from engaging in child related work.

3.12 Ongoing Monitoring

The OCG will continue to monitor criminal records and professional conduct findings of all WWCC clearance holders through a risk assessment process.

3.12.1 Risk Assessments

A risk assessment is an evaluation of an individual's suitability for child-related work. The OCG will conduct a risk assessment on a person's suitability to work with children when a

new record is received which triggers a risk assessment. This may include an offence under Schedule 1, pattern of behaviour or offences involving violence or sexual misconduct representing a risk to children and findings of misconduct involving children.

3.13 Process for Reporting to the OCG

3.13.1 The School

Independent schools are defined as a reporting body by the WWC Act. The School is required to advise the OCG of the findings they have made after completing a reportable conduct investigation, including whether they have made a finding of reportable conduct. A finding of reportable conduct in relation to sexual misconduct, a sexual offence or a serious physical assault must be referred to the OCG's WWCC Directorate. Information must also be referred internally to the OCG's WWCC Directorate if it meets the threshold for consideration of an interim WWCC bar, as per Section 17 of the WWC Act, pending a formal risk assessment.

The School may also be obliged to report, amend or provide additional information to the OCG as outlined in the WWC Act and the Children's Guardian Act.

3.13.2 Finding of Misconduct Involving Children

The school will report any finding of reportable conduct to the OCG.

When informing an employee of a finding of reportable conduct against them, the school should alert them to the consequent report to the WWCC Directorate in relation to sustained findings of sexual misconduct, a sexual offence or a serious physical assault.

The WWC Act enables a person who has a sustained finding referred to the OCG to request access to the records held by the school in relation to the finding of misconduct involving children, once final findings are made. The entitlements of a person to request access to information in terms of section 46 of the WWC Act is enlivened when a finding of misconduct involving children has been made.

3.13.3 Other Information

The school may also be required to provide information to the OGC that is relevant to an assessment of whether a person poses a risk to the safety of children or the OCG's monitoring functions.

3.14. Mandatory Reporting

The Care and Protection Act provides for mandatory reporting of children at risk of significant harm. A child is a person under the age of 16 years and a young person is aged 16 years or above but who is under the age of 18, for the purposes of the Care and Protection Act.

Under the Care and Protection Act mandatory reporting applies to persons who:

- In the course of their employment, deliver services including health care; welfare, education, children's services and residential services, to children; or
- Hold a management position in an organisation, the duties of which include direct responsibility for, or direct supervision of, the provision of services

including health care, welfare, education, children's services and residential services, to children.

All teachers are mandatory reporters. Other staff members may also be mandatory reporters. Any queries about whether other staff members are mandatory reporters should be directed to the Principal.

3.15 Reports to Communities and Justice

A mandatory reporter must, where they have reasonable grounds to suspect that a child (under 16 years of age) is at risk of significant harm, report to the Department of Communities and Justice (DCJ) as soon as practicable. The report must include the name, or a description, of the child and the grounds for suspecting that the child is at risk of significant harm.

In addition, the school may choose to make a report to the DCJ where there are reasonable grounds to suspect a young person (16 or 17 years of age) is at risk of significant harm and there are current concerns about the safety, welfare and wellbeing of the young person.

In the Independent school sector, a mandatory reporter will meet their obligation if they report to the Principal in the school. This centralised reporting model ensures that a person in the school has all of the information that may be relevant to the circumstances of the child at risk of significant harm and addresses the risk of the school not being aware of individual incidences that amount to cumulative harm. If the allegation involves the Principal, the staff member must report to the President of the School Council.

3.15.1 Reasonable Grounds

'Reasonable grounds' refers to the need to have an objective basis for suspecting that a child or young person may be at risk of significant harm, based on:

- First-hand observations of the child, young person or family;
- What the child, young person, parent or another person has disclosed;
- What can reasonably be inferred based on professional training and / or experience.

'Reasonable grounds' does not mean a person is required to confirm their suspicions or have clear proof before making a report.

3.15.2 Significant Harm

A child or young person is 'at risk of significant harm' if current concerns exist for the safety, welfare or wellbeing of the child or young person because of the presence, to a significant extent, of any one or more of the following circumstances:

- The child's or young person's basic physical or psychological needs are not being met or are at risk of not being met;
- The parents or other caregivers have not arranged and are unable or unwilling to arrange for the child or young person to receive necessary medical care;
- In the case of a child or young person who is required to attend school in accordance with the Education Act 1990 —the parents or other caregivers

- have not arranged and are unable or unwilling to arrange for the child or young person to receive an education in accordance with that Act;
- The child or young person has been, or is at risk of being, physically or sexually abused or ill-treated;
- The child or young person is living in a household where there have been incidents of domestic violence and, as a consequence, the child or young person is at risk of serious physical or psychological harm;
- A parent or other caregiver has behaved in such a way towards the child or young person that the child or young person has suffered or is at risk of suffering serious psychological harm;
- The child was the subject of a pre-natal report under section 25 of the Care and Protection Act and the birth mother of the child did not engage successfully with support services to eliminate, or minimise to the lowest level reasonably practical, the risk factors that gave rise to the report.

What is meant by 'significant' in the phrase 'to a significant extent' is that which is sufficiently serious to warrant a response by a statutory authority irrespective of a family's consent.

What is significant, is not minor or trivial and, may reasonably be expected to produce a substantial and demonstrably adverse impact on the child or young person's safety, welfare or wellbeing.

The significance can result from a single act or omission or an accumulation of these.

3.16 Process for Mandatory Reporting

3.16.1 Staff Members

Staff members must raise concerns about a child or young person who may be at risk of significant harm with the Principal/delegate as soon as possible to discuss whether the matter meets the threshold of 'risk of significant harm' and the steps required to report the matter.

The delegation chain of command if the Principal is not available is:

Principal > Registrar > Deputy Principal > Business Manager. However, if there is an immediate danger to the child or young person and the Principal or none of the above staff are contactable, staff members should contact the Police and/or the Child Protection Helpline (13 21 11) directly and then advise the Principal or next most senior member of staff at the School as soon as possible.

Staff members are not required to, and must not, undertake any investigation of the matter. Staff members are not permitted to inform the parents or caregivers that a report to the DCJ has been made.

Staff members are required to deal with the matter confidentially and only disclose it to the persons referred to above or as required to comply with mandatory reporting obligations. Failure to maintain confidentiality will not only be a breach of this policy but could incite potential civil proceedings for defamation.

3.16.2 The School

In general, the Principal (or their delegate) will report these matters to the DCJ and, where necessary, the Police. This is supported by the DCJ in accordance with best practice principles.

3.17 Process for Reporting Concerns about Students

3.17.1 Staff Members

The Care and Protection Act outlines a mandatory reporter's obligation to report to the DCJ concerns about risk of significant harm. However, to ensure centralised reporting all staff members are required to report any concern regarding the safety, welfare and wellbeing of a student to the Principal. Staff members who are unsure as to whether a matter meets the threshold of 'risk of significant harm' should report their concern to the Principal regardless (see Appendix A - Child Protection Mandatory Reporting Action Flowchart and Appendix D – Expression of Concern Form).

Should a staff member have reasonable concerns regarding the safety, welfare and wellbeing of a child outside of School premises, (ISMS student or otherwise) - they are obligated as mandatory reporters to contact the Police to ensure the safety and welfare of the child concerned.

Staff members are required to deal with all reports regarding the safety, welfare or wellbeing of a student confidentially and only disclose it to the Principal and any other person the Principal nominates.

3.18. Reportable Conduct

Under the Children's Guardian Act 2019 reportable conduct is defined as:

- A sexual offence;
- Sexual misconduct;
- An assault against a child;
- Ill-treatment of a child;
- Neglect of a child;
- An offence under section 43B (failure to protect) or section 316A (failure to report) of the Crimes Act 1900; and
- Behaviour that causes significant emotional or psychological harm to a child.

Reportable Conduct does not extend to:

- Conduct that is reasonable for the purposes of the discipline, management or care of children, having regard to the age, maturity, health or other characteristics of the children and to any relevant codes of conduct or professional standards; or
- The use of physical force that, in all the circumstances, is trivial or negligible and the circumstances in which it was used have been investigated and the result of the investigation has been recorded in accordance with appropriate procedures; or
- Conduct of a class or kind exempted from being reportable conduct by the Children's Guardian Act under section 30.

3.19 Definitions

The following definitions relate to Reportable Conduct:

- Sexual Offence: an offence of a sexual nature under a law of the State, another State, a Territory, or the Commonwealth, committed against, with or in the presence of a child, such as:
 - Sexual touching of a child;
 - A child grooming offence;
 - Production, dissemination or possession of child abuse material.

Definitions of 'grooming', within child protection legislation, are complex. Under the Crimes Act, grooming or procuring a child under the age of 16 years for unlawful sexual activity is classed as a sexual offence. The Crimes Act (s73) also extends the age of consent to 18 years when a child is in a 'special care' relationship. Under Schedule 1(2) of the WWC Act, grooming is recognised as a form of sexual misconduct. The Children's Guardian Act 2019 and this Child Protection Policy reflect these definitions within the context of the Reportable Conduct Scheme (Division 2).

An alleged sexual offence does not have to be the subject of criminal investigation or charges for it to be categorised as a reportable allegation of sexual offence.

- Sexual Misconduct: conduct with, towards or in the presence of a child that is sexual in nature (but not a sexual offence). The Act provides the following (non-exhaustive) examples:
 - Descriptions of sexual acts without a legitimate reason to provide the descriptions;
 - Sexual comments, conversations or communications;
 - Comments to a child that express a desire to act in a sexual manner towards the child, or another child.

Note – crossing professional boundaries comes within the scope of the scheme to the extent that the alleged conduct meets the definition of sexual misconduct. That is, the conduct with, towards or in the presence of a child that is sexual in nature (but is not a sexual offence).

- Assault: an assault can occur when a person intentionally or recklessly (i.e. knows the assault is possible but ignores the risk):
 - Applies physical force against a child without lawful justification or excuse such as hitting, striking, kicking, punching or dragging a child (actual physical force); or
 - Causes a child to apprehend the immediate and unlawful use of physical force against them – such as threatening to physically harm a child through words and/or gestures regardless of whether the person actually intends to apply any force (apprehension of physical force).
- Ill-Treatment: is defined as conduct towards a child that is:
 - Unreasonable; and
 - Seriously inappropriate, improper, inhumane or cruel.

Ill-treatment can include a range of conduct such as making excessive or degrading demands of a child; a pattern of hostile or degrading comments or behaviour towards a child; use inappropriate forms of behaviour management towards a child.

 Neglect: defined as a significant failure to provide adequate and proper food, supervision, nursing, clothing, medical aid or lodging for a child that causes or is likely to cause harm - by a person who has care and/or has responsibility towards a child.

Neglect can be an ongoing situation of repeated failure by a caregiver to meet a child's physical or psychological needs, or a single significant incident where a caregiver fails to fulfil a duty or obligation, resulting in actual harm to a child where there is the potential for significant harm to a child. Examples of neglect include failing to protect a child from abuse and exposing a child to a harmful environment.

 Behaviour that causes significant emotional or psychological harm to a child is conduct that is intentional or reckless (without reasonable excuse), obviously or very clearly unreasonable and which results in significant emotional harm or trauma to a child.

For a reportable allegation involving psychological harm, the following elements must be present:

- An obviously or very clearly unreasonable or serious act or series of acts that the employees knew or ought to have known was unacceptable; and
- Evidence of psychological harm to the child that is more than transient, including displaying patterns of 'out of character behaviour', regression in behaviour, distress, anxiety, physical symptoms or self-harm; and
- An alleged causal link between the employee's conduct and the significant emotional or psychological harm to the child.
- Reportable Allegation is an allegation that an employee has engaged in conduct that may be reportable conduct.
- Reportable Conviction means a conviction (including a finding of guilt without the court proceeding to a conviction), in NSW or elsewhere, of an offence involving reportable conduct.
- Employee of an entity includes:
 - An individual employed by, or in, the entity;
 - A volunteer providing services to children;
 - A contractor engaged directly by the entity (or by a third party) where the contractor holds, or is required to hold, a WWCC clearance for the purposes of their work with an entity; and
 - A person engaged by a religious body where that person holds, or is required to hold, a WWCC clearance for the purposes of their work with the religious body.
- Employee Subject of the Allegation (ESOA)

3.20 Process for Reporting of Reportable Allegations or Convictions 3.20.1 Staff Members

Any concerns about any other employee engaging in conduct that is considered inappropriate, or reportable conduct, or any allegation of inappropriate or reportable conduct made to the employee or about the employee themselves must be reported to the Principal. Where it is uncertain if the conduct is reportable conduct but is considered inappropriate behaviour this must also be reported (see Appendix B - Child Protection Reportable Conduct Action Flowchart).

Staff members must also report to the Principal when they become aware that an employee has been charged with or convicted of an offence (including a finding of guilt without the court proceeding to a conviction) involving reportable conduct. This includes information relating to the employee themselves. If the allegation involves the Principal, the staff member must report to the President of the School Council. The President can be contacted via president@isms.nsw.edu.au. Should you wish to contact the President via phone in the first instance, please seek the number from reception or a member of the School's Executive team.

3.20.2 Parents/Carers and Community Members

Parents/Carers and community members are encouraged to report any conduct that is in their view inappropriate, reportable or criminal conduct to the Principal or their delegate. All such reports will be dealt with in accordance with the School's Complaints Policy and Procedures and this Child Protection Policy if applicable.

3.20.3 The School

The Principal, as the Head of Entity under the Children's Guardian Act 2019, must:

- Ensure specified systems are in place for preventing, detecting and responding to reportable allegations or convictions;
- Submit a 7-day notification form to the OCG within 7 business days of becoming aware of a reportable allegation or conviction against an employee of the entity (unless the Head of the Entity has a reasonable excuse).

The notification should include the following information:

- a) That a report has been received in relation to an employee of the school; and
- b) The type of reportable conduct; and
- c) The name of the employee; and
- d) The name and contact details of school and the Head of Entity; and
- e) For a reportable allegation, whether it has been reported to Police; and
- f) If a report has been made to the Child Protection Helpline, that a report has been made: and
- g) The nature of the relevant entity's initial risk assessment and risk management action.

The notice must also include the following, if known to the Head of Entity:

a) Details of the reportable allegation or conviction considered to be a reportable conviction;

- b) Date of birth, working with children number, if any, of employee the subject of the report;
- c) The police report reference number (if Police were notified);
- d) The report reference number if reported to the Child Protection Helpline;
- e) The names of other relevant entities that employ or engage the employee, whether or not directly, to provide a service to children, including as a volunteer or contractor.

Maximum penalty for failure to notify within 7 business days —10 penalty units.

3.21 Process for Investigating an Allegation of Reportable Conduct

The Principal is responsible for ensuring that the following steps are taken to investigate an allegation of reportable conduct.

3.21.1 Initial Steps

Once an allegation of reportable conduct against an employee is received, the Principal is required to:

- Determine whether it is an allegation of reportable conduct;
- Assess whether the DCJ or the Police need to be notified (i.e., if reasonable grounds to suspect that a child is at risk of significant harm or a potential criminal offence). If they have been notified, seek clearance from these statutory agencies prior to the school proceeding with the reportable conduct investigation;
- Notify the child's parents (unless to do so would be likely to compromise the investigation or any investigation by the DCJ or Police);
- Notify the OCG within 7 business days of receiving the allegation;
- Carry out risk assessment, take action to reduce/remove risk, where appropriate; and
- Provide an initial letter to the ESOA advising that an allegation of reportable conduct has been made against them and the School's responsibility to investigate this matter under Section 34 of the Children's Guardian Act 2019; and
- Investigate the allegation or appoint someone to investigate the allegation.

3.21.2 Investigation Principles

During the investigation of a reportable allegation the school will:

- Follow the principles of procedural fairness;
- Inform ESOA of the substance of any allegations made against them, at the appropriate time in the investigation, and provide them with a reasonable opportunity to respond to the allegations;
- Make reasonable enquiries or investigations before making a decision;
- Avoid conflicts of interest;
- Conduct the investigation without unjustifiable delay;
- Handle the matter as confidentially as possible; and
- Provide appropriate support for all parties including the child/children, witnesses and the ESOA.

3.21.3 Investigation Steps

In an investigation, the Principal or appointed investigator will generally:

- Interview relevant witnesses and gather relevant documentation;
- Provide a letter of allegation to the ESOA;
- Provide the ESOA with the opportunity to provide a response to the allegations either in writing or at Interview;
- Consider relevant evidence and make a preliminary finding in accordance with the OCG guidelines;
- Inform the ESOA of the preliminary finding in writing by the Head of Entity and provide them with a further opportunity to respond or make a further submission prior to the matter moving to final findings;
- Consider any response provided by the ESOA;
- Make a final finding in accordance with the OCG guidelines;
- Decide on the disciplinary action, if any, to be taken against the ESOA;
- If it is completed, send the final report to the OCG within 30 days after having received the allegation, as per section 36 of the Children's Guardian Act 2019;
- Should the final report be unfinished within 30 days, the Head of Entity must provide, at minimum, an interim report to the OCG within 30 days of having received the allegation, as per section 38 of the Children's Guardian Act 2019.

Submission of an interim report must include;

- A reason for not providing the final report within 30 days and an estimated time frame for completion of the report;
- Specific information, including (if known); the facts and circumstances of the reportable allegation; any known information about a reportable conviction; action taken since the OCG received a notification about the reportable allegation or reportable conviction; further action the Head of Entity proposes to take in relation to the reportable allegation or reportable conviction; including if the Head of Entity proposes to take no further action; the reasons for the action taken and the action proposed to be taken or the reasons for the decision to take no further action; other information prescribed by the regulations; and
- Be accompanied by copies of documents in the school's possession, including transcripts of interviews and copies of evidence.

The steps outlined above may need to be varied on occasion to meet particular circumstances. For example, it may be necessary to take different steps where the matter is also being investigated by the DCJ or Police.

An ESOA may have an appropriate support person with them during the interview process. Such a person is there for support only and as a witness to the proceedings and not as an advocate or to take an active role.

3.22 Risk Management Throughout an Investigation of a Reportable Allegation

Risk management means identifying the potential for an incident or accident to occur and taking steps to reduce the likelihood or severity of its occurrence.

The Principal is responsible for risk management throughout the investigation and will assess risk at the beginning of the investigation, during and at the end of the investigation.

3.22.1 Initial Risk Assessment

Following an allegation of reportable conduct against an employee, the Principal conducts an initial risk assessment to identify and minimise the risks to:

- The child(ren) who are the subject of the allegation;
- Other children with whom the employee may have contact;
- The ESOA;
- The school, and
- The proper investigation of the allegation.

The factors which will be considered during the risk assessment include:

- The nature and seriousness of the allegations;
- The vulnerability of the child(ren) the ESOA has contact with at work;
- The nature of the position occupied by the ESOA;
- The level of supervision of the ESOA; and
- The disciplinary history or safety of the ESOA and possible risks to the investigation.

The Principal will take appropriate action to minimise risks. This may include the ESOA being temporarily relieved of some duties, being required not to have contact with certain students, being asked to take paid leave, or being suspended from duty. When taking action to address any risks identified, the school will take into consideration both the needs of the child(ren) and the ESOA.

A decision to take action on the basis of a risk assessment is not indicative of the findings of the matter. Until the investigation is completed and a finding is made, any action, such as an employee being suspended, is not to be considered to be an indication that the alleged conduct by the employee did occur.

3.21.2 Ongoing Risk Assessment

The Principal will continually monitor risk during the investigation including in the light of any new relevant information that emerges.

3.21.3 Findings

At the completion of the investigation, a finding will be made in relation to the allegation and a decision made by the Principal regarding what action, if any, is required in relation to the ESOA, the child(ren) involved and any other parties.

3.21.4 Information for the ESOA

The ESOA will be advised:

- That an allegation has been made against them (at appropriate time in the investigation); and
- Of the substance of the allegation, or of any preliminary finding and the final finding.

The ESOA does not automatically have the right to:

- Know or have confirmed the identity of the person who made the allegation; or
- Be shown the content of the OCG notification form or other investigation material that reveals

information provided by other employees or witnesses.

The WWC Act enables a person who has a sustained finding referred to the OCG to request access to the records held by the school in relation to the finding of misconduct involving children, once final findings are made. The entitlements of a person to request access to information in terms of section 46 of the WWC Act is enlivened when a finding of misconduct involving children has been made.

3.21.5 Disciplinary Action

As a result of the allegations, investigation or final findings, the school may take disciplinary action against the ESOA (including termination of employment). In relation to any disciplinary action the school will give the ESOA:

- Details of the proposed disciplinary action; and
- A reasonable opportunity to respond before a final decision is made.

3.21.6 Confidentiality

It is important when dealing with allegations of reportable conduct that the matter be dealt with as

confidentially as possible.

The school requires that all parties maintain confidentiality during the investigation including in relation to the handling and storing of documents and records.

Records about allegations of reportable conduct against employees will be kept as hardcopies, locked in the Principal's office and will be accessible by the Principal.

No employee may comment to the media about an allegation of reportable conduct unless expressly authorised by the Principal to do so.

Staff members who become aware of a breach of confidentiality in relation to a reportable allegation must advise the Principal.

3.22 Criminal Offences

In 2018 the Crimes Act was amended to adopt recommendations of the Royal Commission into Institutional Responses to Child Sexual Abuse. The new offences are designed to prevent child abuse and to bring abuse that has already occurred to the attention of the Police.

3.23 Failure to Protect Offence

An adult working in a school, therefore all staff members, will commit an offence if they know another adult working there poses as serious risk of committing a child abuse offence and they have the power to reduce or remove the risk, and they negligently fail to do so either by acts and/or omissions.

This offence is targeted at those in positions of authority and responsibility working with children who turn a blind eye to a known and serious risk rather than using their power to protect children.

3.24 Failure to Report Offence

Any adult, and therefore all staff members, will commit an offence if they know, believe or reasonably ought to know that a child abuse offence has been committed and fail to report that information to Police, without a reasonable excuse. A reasonable excuse would include where the adult has reported the matter to the Principal and is aware that the Principal has reported the matter to the Police.

3.25 Relevant Support Information

- Principal of the School principal@isms.nsw.edu.au
- President of the School Council president@isms.nsw.edu.au
- The NSW Mandatory Reporter Guide provides support to mandatory reporters and can be accessed on the NSW Government Child Story Reporter website: https://reporter.childstory.nsw.gov.au/s/mrg.
- The Office of Children's Guardian website www.kidsguardian.nsw.gov.au provides a variety of information around how to be a child safe organisation and advice on Working with Children Checks (WWCC).
- **WWCC Fact Sheets** which cover a range of related Working with Children information may be obtained through the Office of the Children's Guardian.

Relevant for ISMS, is the Exemptions for Practicum Students:

Under the <u>Child Protection (Working With Children)</u> Regulations 2013, Part 4 Exemption of Workers and Employers from the Act states:

- (m) a worker who is working in and visiting New South Wales from outside the State for the purposes of a one-off event such as a jamboree, sporting or religious event or tour, if the event is the only child-related work carried out by the worker in New South Wales in that calendar year and the period of the work does not exceed 30 days, and
- (o) a visiting speaker, adjudicator, performer, assessor or other similar visitor at a school or other place where child-related work is carried out if the work of the person at that place is for a one-off occasion and is carried out in the presence of one or more other adults.

These exemptions may apply to practicum students and volunteers. The nature of practicum students' time at the School means that they are never left unsupervised.

Association of Independent Schools NSW Support

• ISMS is a member school of AISNSW. The AISNSW assists schools with a range of child protection matters including investigations, reporting and compliance with various Government regulations. The AISNSW recommends that all member schools should have an Accredited Child Protection Investigator (the Enrolments Registrar and Deputy Principal - Operations are both Accredited Child Protection Investigators). Logged-in authorised users in member schools may access the AISNSW website for a range of information including training and seminars available e.g. Creating Safer Independent Schools, Child Protection Investigation Training.

- Logged-in authorised users in member schools can access information relating to:
 - Staff Code of Conduct;
 - Duty of Care;
 - Child Protection Policy (updated periodically).
- AISNSW member schools requiring further assistance relating to employment, child protection and safeguarding children can contact the AISNSW Workplace Management Team (02 9299 2845).

4. Related Policies and Procedures

Policy to be read in conjunction with:

- 3.4.3 Work Health & Safety
- 3.6.2.1 Support & Care (Pastoral Care)
- 3.6.2.2 Duty of Care
- 3.6.2.3 Discrimination Harassment & Bullying
- 3.6.2.4 Staff Code of Conduct
- 3.6.2.11 Complaints
- 3.6.2.12 Privacy
- 3.7.1 Anti-Bullying
- 3.7.2 Student Discipline
- 3.7.3 Behaviour Management Procedures

5. Access to and Communication of Policy

Families have access to an electronic version of this policy through the ISMS Intranet. Staff have access to an electronic version through the ISMS Staff Intranet page, as well as through Google Drive. Hard copies are available at all campuses.

Families:

• If there are changes to the policy, the Deputy Principal – Operations and ICT Manager are responsible for ensuring parents are notified via the School Newsletter or email and the policy is updated on the ISMS Intranet.

Staff:

- Staff will be required to annually sign-off on the Child Protection Policy and Procedures and participate in training related to Child Protection;
- If there are changes to the policy, the Deputy Principal Operations and ICT
 Manager are responsible for ensuring staff are notified via email and the policy is
 updated on the Staff Intranet page and Google Drive. Additionally, significant
 changes are discussed and communicated verbally at Whole Staff Meetings.

The Deputy Principal - Operations is responsible for the upkeep of policies and procedures through Google Drive, Intranet sites and hard copies in central locations. This includes initiating the review process for all policies.

6. Review Process

Person Responsible for Initiating the Review: Deputy Principal

Consultation and Review Team: Principal, Executive Team, Business Manager, Deputy

Principal - Operations

External Consultation: ACECQA, CELA, AISNSW, NSWDET

Approver: School Council

7. Consequences of Non-Compliance

Staff

It is expected that all staff will comply with the Policy and if not, consequences will be as per the Staff Code of Conduct. There are legal ramifications and potential disciplinary action in respect of your employment at ISMS.

8. Appendix List

Appendix A – Child Protection Mandatory Reporting Action Flowchart

Appendix B – Child Protection Reportable Conduct Action Flowchart

Appendix C – Working With Children Check Process Flowchart

Appendix D – Working With Children Check Audit Check Sheet

Appendix E – Expression of Concern Form

Appendix F – 7-Day Notification Form Principal (Reportable Conduct)

Appendix H – Entity Report Form Principal (Reportable Conduct)

Appendix I – 30-Day Interim Report Form Principal (Reportable Conduct)

9. Legislative Requirements

Relevant legislation and standards include but are not limited to:

ACECQA

Education and Care Services National Law Act 2010

Education and Care Services National Regulations 2011 (ACECQA):

- Regulation 84 Awareness of child protection law
- Regulation 155 Interactions with children
- Regulation 156 Relationships in groups
- Regulation 168 Education and care must have policies and procedures for
 - 2 (h) Providing a child safe environment
 - 2 (i) Staffing, including:
- (i) A code of conduct for staff members; and
- (ii) Determining the responsible person present at the service; and
- (iii) The participation of volunteers and students on practicum placements;

Link to National Quality Standard, Quality Area 2: Children's Health and Safety

Link to National Quality Standard, Quality Area 7: Governance and Leadership

NSW Education Standards Authority (NESA)

NSW Education Standards Authority (NESA)

Registered and Accredited Individual Non-Government Schools (NSW) Manual Section B8 – Safe and Supportive Environment

Other

The Children and Young Persons (Care and Protection) Act 1998 (NSW) (the Care and Protection Act)

The Child Protection (Working With Children) Act 2012 (NSW) (the WWC Act)

The Children's Guardian Act 2019 (The Children's Guardian Act)

The Crimes Act 1990 (The Crimes Act)

Department of Communities and Justice - https://www.dcj.nsw.gov.au/

The Children's Guardian (formerly the NSW Commission for Children and Young People) www.kids.nsw.gov.au

The NSW Mandatory Reporter Guide provides support to mandatory reporters and can be accessed on the NSW Government Child Story Reporter website:

https://reporter.childstory.nsw.gov.au/s/mrg.

Association of Independent Schools - <u>www.aisnsw.edu.au</u>

Child Protection helpline: 132 111

http://www.legislation.nsw.gov.au/maintop/view/inforce/act+51+2012+cd+0+N

¹ The Working With Children system commenced in NSW on 15 June 2013.

² As of 15 June 2013, existing employees and volunteers are subjected to a phase in schedule developed by the OCG. This can be found at www.kids.gov.nsw.au (which may be updated from time to time by the OCG).

³ Further information at Part 2 Division 1 Section 6 Child Protection (Working With Children) Act 2012 found at

⁴ Further details of obligations of employers can be found in the *Information for Employers* guidelines and/or *Information for reporting bodies* factsheet developed by the OCG found at www.kids.nsw.gov.au

⁵ Further details of obligations of employers can be found in the Information for Employers guidelines and/or Information for reporting bodies factsheet developed by the OCG found at www.kids.nsw.gov.au

[&]quot;The School's policies which are made from time to time are made pursuant to the requirements set out in section 47 of the Education Act, the NSW Education Standards (NESA) for registration of the School and the Australian Children's Education and Care Quality Authority (ACECQA)."

Appendix A

Child Protection Mandatory Reporting Action Flowchart Initial Actions (Staff Members)

Staff member becomes aware of a possible threat or concern to child or young person Staff member to complete the **Delegation** ISMS Expression of Concern form Chain of within 24 hours and directly hand Command: to Principal or their delegate Principal > Registrar > Deputy > If immediate threat, together with Principal/delegate (see **Business** Delegation Chain of Command) report to Police or Department of Manager Communities and Justice. If Principal or listed delegates are not If the contactable, contact the Police and/or the Child Protection Helpline concern is (13 21 11) directly and advise the Principal/delegate ASAP. about the **Principal:** Contact School Maintain confidentiality Council President **Subsequent Actions** (Principal/Delegate) Assess the concern Gather and store all Complete MRG tool and follow relevant information in instructions Child Protection folder to ensure whole picture is Regularly assess including new concerns in conjunction with information shown in Child Protection folder Termly Meeting (CP Team)

Appendix B

Child Protection Reportable Conduct Action Flowchart Initial Actions (Staff Members)

Staff member becomes aware of a possible threat or concern to child or young person

Staff member to complete the ISMS Expression of Concern form within 24 hours and directly hand to Principal or their delegate

If immediate threat, together with Principal/delegate (see Delegation Chain of Command) report to Police or Department of Communities and Justice. If Principal or listed delegates are not contactable, contact the Police and/or the Child Protection Helpline (13 21 11) directly and advise the Principal/delegate ASAP.

1

Maintain confidentiality

Subsequent Actions (Principal/Delegate)

Assess the concern

1

Principal to complete a 7-Day Notification Form and send to the OCG

L

Principal to follow Part B: NSW Reportable Conduct Scheme until the conclusion of the investigation

Principal to complete a 30 -Day Interim Report Form and send to the OCG (irrespective of whether the investigation is ongoing) Delegation Chain of Command:

Principal > Registrar > Deputy >

Business Manager If the

concern is

Principal:Contact

School Council President

23

Appendix C Working with Children Check Process Flowchart

New Starters



Overseas Applicants

If applicant is successful, inform at time of interview that an Australian WWCC application must be completed on arrival in Australia before employment can commence. Business Manager to contact employee upon arrival in Australia to remind them to complete application.

Australian Applicants

Prospective employees to supply a current WWCC at the time of interview, if they already have one, which will be verified by the WWCC Validator if they are offered the position.

If no WWCC has been provided, successful applicants will be given information on how to apply for a WWCC, which they must present to ISMS before work can commencement. Please note this may take up to two weeks to process through the NSW Office of the Children's Guardian (see Recruitment Process Flowchart).

Once WWCC has been verified, WWCC validator adds all relevant information to the online register. A hard copy of the WWCC form is kept at Reception and a second copy is kept in the employees file.

Business Manager and WWCC team to meet in Week 1 of each term holiday for a WWCC audit, (Audit Cheek Sheet below) followed by a meeting with the Principal in Week 1 of term.

Current staff

WWCC validator to

notify employees 3 months prior to their WWCC expiration that an update is due. If the new WWCC has not been received within a fortnight a reminder email is sent out each week for 2 weeks. If there is still no action by the employee the matter is brought to the attention of the Principal who will contact the employee reminding them that without a current WWCC they will not be able to work within the school environment and will be on leave without pay until the WWCC is obtained and verified.

Employees to forward WWCC renewal confirmation to WWCC Validator before current WWCC expires.

Business Manager to present validation of this to the School Council at the next School Council meeting following audit. All records to be kept for seven years.

External Providers



External Providers
Covers: Occupational
Therapists, Speech
Therapists, Music
Tutors, cleaners,
contractors and Holiday
Care performers and
providers.



All external providers must supply a current WWCC check prior to commencement of services.



Agencies providing casual staff must supply WWCC information for validation before the casual staff member begins work.

Appendix D

Working with Children Check Audit Check Sheet



Quarterly WWCC Audit

Date: Term:	Complete	Action Required	Follow Up Date	Comments
Check current payroll list against WWCC Spreadsheet	Y/N		8	8
Maintenance Manager to notify of any new contractors	Y/N			
Check all WWCC expiry dates, including current staff, School Council, SMTC, external providers, volunteers, prac. students and cleaners	Y/N			
All new employees have been added to the WWC spreadsheet and their WWCC's have been verified				
HR and Admin have a copy of new verifications	Y/N			
WWCC expiration reminders have been sent to current staff 3 months prior to expiration	Y/N			
Validator has received any current staff renewal notifications	Y/N			
Follow up emails have been sent if needed	Y/N			3
Zoe has been informed if no action has been take by the employee to update their WWCC	Y/N			
Any new external providers have provided their current WWCC's	Y/N			
Appropriate agencies have provided WWCC's for casual agency staff	Y/N			
Set meeting time for follow up meeting with Zoe	Y/N			
Business Manager to present validation to WWCC audit to School Council at next meeting	Y/N			

Signature / Date				
Administration	HR/Payroll Officer	Maintenance Manager	Business Manager	Principal
Kristine Shield	Ana Goncalves	George Sleiman	Christine Shaw	Zoe Ezzard



Inner Sydney Montessori School Child Protection Expression of Concern Form

Student:		Date of birth:	
Date and time of		Date and time of	
concern/disclosure:		writing report:	
If a disclosure has been made:			
Disclosure between	and		_
Person/s present:			
Location:			
Demeanour of child when making the	e disclosure:		
			
			· · · · · · · · · · · · · · · · · · ·
Report: (Continue on back if necessary	ary):		
Name of reporter:		Signature:	
Date:		Time:	
Skin maps attached:	Yes or No		

7-Day Notification Form



Reportable Conduct Scheme

7-Day Notification Form

Part 4 Children's Guardian Act 2019 * = compulsory in all cases ** = compulsory when known 1. Notification Details 1.1 Date or date range of alleged incident, if known: 1.2 Date head of relevant entity (HRE) aware of reportable allegation: 1.2.1 Source of the report/allegation: Other 1.3 *If this notification is made more than 7 business days after the HRE became aware of the reportable allegation, please state the reasons: 2. Details of Relevant Entity 2.1 *Name of relevant entity: 2.2 Type of relevant entity: 2.3 *Head of relevant entity (HRE) or approved delegate details 2.3.1 *Name: 2.3.2 Title: 2.3.3 *Contact details 2.3.3.1 Tel:

2.3.3.2 Email:

2.4.2 Contact details 2.4.2 Contact details 2.4.2.1 Tel: 2.4.2.2 Email: 3. Head of Relevant Entity (HRE) - Mandatory Considerations and Authorisation 3.1 Click here to confirm that the HRE or approved delegate has given consideration to mandatory facts specified at section 40(3) of the Children's Guardian Act 2019: 4. Details of Employee the Subject of Notification Note, you can only notify multiple employees in one notification if they are the subject of a reportable allegation arising from the same incident (if more than 5 attach separately) How many employees are the subject of this notification?: Choose an item. Employee (if more than one employee please provide the following details in a separate attachment) 4.1 Name of employee: 4.2 "DOB (or age at time of alleged incident): 4.3 Gender: Choose an item. 4.4 Employment status: Choose an item. 4.4.1 If the person was engaged/contracted through a third party, please name the third party: 4.5 Employee requires or otherwise holds a WWCC for their employment with this entity:	.4 Is the conta	act person d	different to HRE or approved delegate:	Yes - go to 2.4.1
2.4.2.1 Tel: 2.4.2.2 Email: 3. Head of Relevant Entity (HRE) - Mandatory Considerations and Authorisation 3. Click here to confirm that the HRE or approved delegate has given consideration to mandatory factor pecified at section 40(3) of the Children's Guardian Act 2019: 4. Details of Employee the Subject of Notification Note, you can only notify multiple employees in one notification if they are the subject of a reportable llegation arising from the same incident (if more than 5 attach separately) Now many employees are the subject of this notification?: Choose an item. Employee (if more than one employee please provide the following details in a separate attachment) 1. Name of employee: 2. **DOB (or age at time of alleged incident): 3. Gender: Choose an item. 4.4.1 If the person was engaged/contracted through a third party, please name the third party: 5. Employee requires or otherwise holds a WWCC for their employment with this entity:	2.4.1 Name	e:		
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	Choose an iten	n.		
4.5.1 **WWCC #:	4.5.1 **WV	WCC #:		
4.6 **The employee has other child-related work (including as a volunteer or contractor who is required to hold a WWCC for the purpose of the engagement):		-		(boose an item



entities that employ or engage the perso	n in child-related work):
7 Is the employee aware of the allegation?	Choose an item.
5. Details of reportable allegation a reportable conviction	/s or conviction considered to be
*Primary allegation type: Choose a	n item.
2 *Secondary allegation type: Choose a	n item.
3 Details of alleged victim if known (if there parate notification form or attachment):	e is more than one alleged victim, please provide details in a
5.3.1 Name:	
5.3.2 DOB (or if not known, age):	
5.3.3 Gender:	
5.3.4 Aboriginal or Torres Strait Islander	Choose an item.
5.3.5 Culturally and linguistically diverse	Choose an item.
5.3.6 Parental responsibility to Minister:	Choose an item.
5.3.7 If child has disability, please state	nature:
4 **Provide the details of all reportable alle	gations/convictions as currently known:
5 *Allegation has been reported to police I	by relevant entity: Choose an item.
5.5.1 **If yes, police report reference #,	if known:
6^* Allegation has been reported to police I	by other: Choose an item.
5.6.1 If yes, police report made by:	



5.7 *Allegation has been reported to the Child Protection Helpline by relevant entity: Choose an item.
5.7.1 **If yes, Helpline report reference #, if known:
5.8 *Allegation has been reported to the Helpline by other: Choose an item.
5.8.1 If yes, Helpline report made by:
6. Details of Inital Risk Assessment and Risk Management Action
6.1 *Initial risk assessment is: Choose an item.
6.2 Explain risk assessment:
6.3 *Initial risk management action taken: Choose an item. 6.4 Reason for initial risk management action: 7. Other Information
Please provide any other information you consider relevant to this notification:
Note: If you have documentation you consider relevant to this notification, please emand with information linking it to this notification (max. 544b) to: reportableconduct@kidsguardian.nsw.gov.ug or mail to Reportable Conduct Scheme, Office of the Children's Guardian, Locked Bag 5100, Strawberry Hills 2012 NSW.
Submit



Locked Bag 5100, Strawberry Hills NSW 2012 www.kidsguardian.nsw.gov.au

Entity Report Form



Entity Report Form

Part 4 Children's Guardian Act 2019

Once the head of entity is satisfied that the investigation has been concluded, they must, as soon as practicable, provide the Office of the Children's Guardian with an Entity Report unless the Guardian has given a written exemption or the head of the relevant entity has a reasonable excuse. Where it is considered that there is a reasonable excuse for not providing an entity report, heads of relevant entities are asked to provide the Guardian with an outline of their reasons, so the Guardian may determine whether to provide a written exemption.

that there is a reasonable excuse for not providing an entity report, heads of relevant entities are asked to provide the Guardian with an outline of their reasons, so the Guardian may determine whether to provide a written exemption.	
One or more findings in this entity report is a finding (ie, a sustained finding) of sexual offence, sexual misconduct or serious physical assault:	
Yes or No	
Under Section 56 of the Children's Guardian Act 2019, when the head of a relevant entity makes a finding that an employee engaged in reportable conduct that is a sexual offence, sexual misconduct or serious physical assault, the Children's Guardian must report the finding to the Working with Children Check Unit of the Office of the Children's Guardian. (For reasons, see separate memore \$138(2)(g) reporting obligation)	
Please ensure you provide the required information*, either in the fields provided or by attaching relevant documentation:	
1 Relevant entity name:	
2 Your reference or employee name:	
3 OCG reference (including if the Children's Guardian consented to an extension):	
4 *The facts and circumstances of the reportable allegation or conviction: Or: Information is attached	
4.1 Date entity first informed employee of reportable allegation:	
4.2 Date entity informed employee of preliminary findings or (if no preliminary findings were made) the findings:	

		Or: Information is attached
	5.1 For the purposes of the Working With was there a finding of reportable conduct	
	5.1.1 If Yes, select which type(s) of Sexual misconduct A se	reportable conduct xual offence A serious sexual assault
	5.1.2 Employee's Name	
	5.1.3 Employee's date of birth	
	5.1.4 Employee's WWCC number	
_		
6 .	5.2 For the purposes of the Working Wit Check the Employer's registration number An analysis of the evidence (with referent dren's Guardian Act 2019):	
6 .	Check the Employer's registration number An analysis of the evidence (with referen	er
6 *. Child	Check the Employer's registration number An analysis of the evidence (with referen	oce to the mandatory considerations at Division 6 of Part 4 of the Or: Information is attached
6 *. Child	Check the Employer's registration number An analysis of the evidence (with referen dren's Guardian Act 2019):	oce to the mandatory considerations at Division 6 of Part 4 of the Or: Information is attached
6 *. Child	Check the Employer's registration number An analysis of the evidence (with reference dren's Guardian Act 2019): The rationale for each finding (on the bath) The action that has been or will be taken	or o
6 *. Child	Check the Employer's registration number An analysis of the evidence (with reference dren's Guardian Act 2019): The rationale for each finding (on the bath) The action that has been or will be taken	or o
Child	Check the Employer's registration number An analysis of the evidence (with reference dren's Guardian Act 2019): The rationale for each finding (on the bath) The action that has been or will be taken	or: Information is attached Or: Information is attached

Under Section 56 of the Children's Guardian Act 2019, when the head of a relevant entity makes a finding that an employee engaged in reportable conduct that is a sexual offence, sexual misconduct or serious physical assault, the Children's Guardian must report the finding to the Working with Children Check Unit of the Office of the Children's Guardian.



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	Any other information the Guardian has required in writing in relation to the reportable allegation/s or the y's response:
	Or: Information is attached or N/A
	0.1: Provide dates of all periods during which the investigation was suspended or exempted from rogressing and the reasons:
le w in	D.2: If the investigation took > 6 months to complete, please list any factors that contributed to the ength of time the investigation took (such as complexity, difficulty locating witnesses, victim or employee wellbeing, difficulty/delay obtaining third party information, entity inexperience with reportable conduct exestigations, resourcing in entity, or any other factors): The Children's Guardian is required to report publicly on investigations not completed within 6 months.
Copie Copie 12 In	Copies of all relevant documents, including transcripts of interviews and copies of evidence (excluding as of documents already provided to the Reportable Conduct Directorate): as are attached information provided to alleged victim(s) and/or parent or carer?: Yes or No s, please provide the details or if no, please provide the public interest reasons for non-disclosure.
Per	rson submitting entity report
Name	e:
Title:	
	Submit

Under Section 56 of the Children's Guardian Act 2019, when the head of a relevant entity makes a finding that an employee engaged in reportable conduct that is a sexual offence, sexual misconduct or serious physical assault, the Children's Guardian must report the finding to the Working with Children Check Unit of the Office of the Children's Guardian.





30-Day Interim Report Form

Part 4 Children's Guardian Act 2019

Heads of relevant entities (HRE) must submit an interim report on reportable allegations if:

- · 30 calendar days have passed since the HRE became aware of the reportable allegation,
- The HRE has not been required to defer or suspend the investigation (by written notice from the Children's Guardian or on advice from the Commissioner of Police or the Director of Public Prosecutions),
- The Children's Guardian has not given the HRE written notice exempting the HRE from commencing or continuing the investigation, and
- · The Entity Report is not ready to submit and no extension has been provided by the Children's Guardian.

Please ensure you provide the required information*, either in the fields provided or by attaching relevant documentation:

Relevant entity name:

1	Relevant entity name:
2	Your reference and/or employee name:
3	OCG reference:
4	*All known facts and circumstances of the reportable allegation or conviction:
	Or: Information is attached
5	*Action taken since the reportable matter was notified to the Children's Guardian:
	Or: Information is attached
6	*The reasons for the action taken.
	Or: Information is attached
7	*Further action proposed to be taken:
	Or: Information is attached
8	*The reasons for proposed action:
Г	Or: Information is attached

9. If no	further action has been taken, list reasons for the decisions:
Or: Info	rmation is attached
IO All in	nformation requested in the 7-day notification form that was not provided with the initial notification:
	Or: Information is attached
11 *Rea	ason/s the investigation is not completed within 30 days:
	Or: Information is attached
2 *An	estimated timeframe for completion of the entity report:
	Or: Information is attached
	rmation provided to alleged victim(s) and/or parent or care?: Yes or No lease provide the details or if no, please provide the public interest reasons for non-disclosure.
Perso	on submitting entity report
Name:	
Title:	
linking it	you have documentation you consider relevant to this notification, please email it with information to this notification (max. 25MB) to: 30day@kidsguardian.nsw.gov.au or mail to Reportable Conduct p. Office of the Children's Guardian, Locked Bag 5100, Strawberry Hills 2012 NSW.
	Submit



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